



REQUEST FOR PROPOSALS
Solicitation Number: Q-12-014-MR

FINANCIAL ADVISOR SERVICES

ADDENDUM #1 – 11:20 AM Central | August 27, 2012

This addendum provides answers to questions submitted by the deadline as listed in the RFP.

ANSWER TO QUESTIONS

1. With regard to the 15 points in the evaluation criteria for adhering to the SMWB participation goals per Exhibit B, can a responding firm obtain points by committing to a specified subcontractor percentage in the RFP, or must the subcontracting firm(s) and participation level(s) be identified in the RFP response?

A: The specific subcontracting firm(s) and participation level(s) that will be utilized in the contract must be identified in the Good Faith Effort Plan document, (Exhibit "B"), in order to receive SMWB points.

2. To the extent that potential SMWB-qualified firms submit as Primes for the work outlined in the RFP, can such firms also be identified as potential subcontractors for another Prime (such as ourselves)? Or would a Co-Financial Advisor arrangement, as suggested by the RFP, intend to allow the SMWB goal to be met for a non-SMWB Prime committed to meeting the required levels?

A: Yes, primes may be listed as subcontractors on other primes' RFP submittals, however, a prime may not list themselves as their own subcontractor. A non-SMWB prime should not count upon the Co-Financial Advisor arrangement as an avenue for fulfilling their SMWB requirement, because, A.) In the event that an SMWB-certified Prime does not submit an RFP, or an SMWB-certified prime not

chosen for the Co-Financial Advisor arrangement, we do not want to risk a total lack of SMWB participation, and B.) If there is a Co-Financial Advisory arrangement with an SMWB-certified prime, then the SMWB-certified prime would have fulfilled their own SMWB aspirational goal. It would not serve to fulfill the goal of a non-certified prime.

END ANSWERS TO QUESTIONS

Other than the clarifications given, no items, dates, or deadlines for this RFP are changed.

END ADDENDUM #1